



SOX 404(b) Audit: Plan for Success

Wednesday, September 23, 2009

11:00 a.m. – 1:00 p.m.

Hyatt Regency La Jolla at Aventine

3777 La Jolla Village Drive
San Diego, CA 92122

Lunch will be provided.
Complimentary valet parking.

To register for the roundtable,
please contact

**Joy Goffin at (949) 450-6371 or
jgoffin@hwcpcpa.com**

Online registration is also available at
www.hwcpcpa.com

On Wednesday, September 23, please join us at our quarterly roundtable. We will focus on SOX 404(b) which becomes effective this year for non-accelerated filers with a fiscal year ending after 12/15/09. Although the SEC has delayed non-accelerated filer's implementation of 404(b) several times, further delays are not likely. The topic will also be appropriate for private companies seeking to improve their internal controls or want to learn more about SOX.

Our discussion will focus on SOX 404(b). We will cover the following topics and leave plenty of time for questions.

- SOX Section 404 – Understanding the basics
- Lessons learned from accelerated filers
- Action items to increase the likelihood of an efficient and cost-effective audit
- Using PCAOB AS 5 to manage the audit process

1 hour of CE credit will be available.

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CERTIFIED PUBLIC ACCOUNTANTS
AND BUSINESS ADVISORS

Who should attend:

- Executives of Public Companies:
 - Non-accelerated filers: Prepare for your SOX 404(b) Audit
 - Accelerated filers: Share your insights on SOX 404(b)
- Private companies
 - Improve operations through better controls